

OVERVIEW

Currently there is a range of international sanctions and export controls (including the *Defence Trade Controls Act 2012*) enforced by the Australian Government against various countries, organisations and individuals. Australian law also prohibits the disclosure or publication of specified defence technologies unless a permit has been issued. Defence technologies include what are called Schedule 1 technologies. These are the types of technologies which are usually developed for use as weapons, weapons delivery or propulsion systems and technologies that have an apparent military purpose. Less restrictive controls also extend to Schedule 2 technologies which are technologies which are not weapons or developed for a military application but which might have application in a military context. These are called dual use technologies.

Breaching Australia's export controls may attract substantial fines and jail sentences.

These export controls impact the University's activities in a range of disciplines. The bulk of the controls apply to restrict access to technologies, services and training in a range of defence related fields such as;

- arms and related material and their underlying technologies
- technical advice, assistance or training related to military activities or training that relates to the supply, manufacture, maintenance or use of arms or related materials;
- weapons of mass destruction including chemical weapons and their precursors, dual use material (which is civilian material capable of use in a military context, such as, for example, GPS technology that could also be used as missile guidance technology) and technology, biological agents, plant pathogens and animal pathogens; and
- atomic technologies.

The scope of these controls extends to particular individuals and organisations, and may also place embargoes on engagement with particular industries, such as the petro-chemical industry in Iran and the electricity generation industry in Syria.

An assessment has been made of the University's Elements to determine which areas of the University's operations are likely to conduct activities which might attract controls.

This guide has been developed to assist you to determine whether controls might potentially apply to your research endeavours and other activities. If the activity under consideration is conducted within an Element listed as potentially impacted, then you should use the Export Controls Guide before:

- approving research projects or changes to research projects undertaken by international students, including enrolment of international student in any post-graduate research program or course;

- approving research projects or changes to research projects to be undertaken by visiting academics who are not Australian citizens and may be subject to international sanctions;
- undertaking formal or informal research collaborations with academics or organisations that are not Australian citizens and may be subject to international sanctions;
- approving changes to research projects undertaken by international students, or by Griffith academics or visiting academics who are not Australian citizens;
- accepting visiting researchers and academics from sanctioned countries or from any other country;
- initiating formal or informal research collaborations (whether funded or not) with organisations or academics from overseas;
- submitting academic publications;
- technology or material transfers to sanctioned countries or individuals; and
- undertaking consultancies or engaging in private practice for or with sanctioned countries or individuals.

The Elements that are considered likely to be impacted by international sanctions are those checked yes below.

OFFICE OF THE SENIOR DEPUTY VICE CHANCELLOR	ASSESSMENT	DETAILS OF RESEARCH ACTIVITIES OR FACILITIES
Institute for Glycomics	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Possible presence of dual use technologies. Possible presence of technologies or services that might be of assistance to the Iranian petroleum industry. Possible restrictions on training of post-graduate research students from sanctioned countries, including providing access to certain types of equipment. Possible access to technologies involving graphite, iron and steel, copper and articles thereof, nickel and articles thereof, aluminium, lead, zinc, tin and other base metals, cermets and articles.
Biosciences Resources Facility	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
GRIFFITH SCIENCES	ASSESSMENT	DETAILS OF RESEARCH ACTIVITIES OR FACILITIES
Schools		
School of Environment and Science	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Possible presence of dual use technologies. Possible presence of pathogens that might be or be precursors to chemical weapons. Possible presence of technologies or services that might be of assistance to the Iranian petroleum industry.

		<p>Possible restrictions on training of post-graduate research students from sanctioned countries, including providing access to certain types of equipment.</p> <p>Possible access to technologies involving graphite, iron and steel, copper and articles thereof, nickel and articles thereof, aluminium, lead, zinc, tin and other base metals, cermets and articles.</p>
School of Engineering and Built Environment	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>Possible presence of dual use technologies.</p> <p>Possible restrictions on training of post-graduate research students from sanctioned countries, including providing access to certain types of equipment.</p> <p>Possible access to technologies involving graphite, iron and steel, copper and articles thereof, nickel and articles thereof, aluminium, lead, zinc, tin and other base metals, cermets and articles.</p>
School of Information and Communication Technology	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>Possible presence of dual use technologies.</p> <p>Possible restrictions on training of post-graduate research students from sanctioned countries, including providing access to certain types of equipment.</p> <p>Possible access to technologies involving graphite, iron and steel, copper and articles thereof, nickel and articles thereof, aluminium, lead, zinc, tin and other base metals, cermets and articles.</p>
Research Centres		
Australian Rivers Institute	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>Possible presence of dual use technologies.</p> <p>Possible restrictions on training of post-graduate research students, including providing access to certain types of equipment.</p>
Centre for Quantum Dynamics	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>Possible presence of type 2 technologies</p> <p>Possible presence of dual use technologies.</p> <p>Possible restrictions on training of post-graduate research students from sanctioned countries, including providing access to certain types of equipment.</p> <p>Possible access to technologies involving graphite, iron and steel, copper and articles thereof, nickel and articles thereof, aluminium, lead, zinc, tin and other base metals, cermets and articles.</p>

Environmental Futures Research Institute	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Possible presence of dual use technologies. Possible restrictions on training of post-graduate research students from sanctioned countries, including providing access to certain types of equipment.
Griffith Institute for Drug Discovery	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Possible presence of type 2 technologies Possible presence of dual use technologies. Possible presence of pathogens that might be or be precursors to chemical weapons. Possible restrictions on training of post-graduate research students from sanctioned countries, including providing access to certain types of equipment. Possible access to technologies involving graphite, iron and steel, copper and articles thereof, nickel and articles thereof, aluminium, lead, zinc, tin and other base metals, cermets and articles.
Institute for Integrated and Intelligent Systems	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Possible presence of dual use technologies. Possible restrictions on training of post-graduate research students from sanctioned countries, including providing access to certain types of equipment. Provision of access to software for integrating industrial processes to Iran Provision of access for Iran to electronics, sensors and lasers navigation and avionics
Queensland Micro- and Nanotechnology Centre	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Possible presence of dual use technologies. Possible restrictions on training of post-graduate research students from sanctioned countries, including providing access to certain types of equipment. Possible access to technologies involving graphite, iron and steel, copper and articles thereof, nickel and articles thereof, aluminium, lead, zinc, tin and other base metals, cermets and articles. Provision of access for Iran to electronics, sensors and lasers navigation and avionics
Cities Research Institute	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

HEALTH	ASSESSMENT	DETAILS OF RESEARCH ACTIVITIES OR FACILITIES
Schools		
School of Dentistry and Oral Health	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
School of Human Services and Social Work	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
School of Medical Science	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Possible presence of pathogens that might be or be precursors to chemical weapons. Possible restrictions on training of post-graduate research students from sanctioned countries, including providing access to certain types of equipment.
School of Medicine	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
School of Nursing and Midwifery	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
School of Pharmacy and Pharmacology	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
School of Allied Health Sciences	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
School of Applied Psychology	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Research Centres		
Australian Institute for Suicide Research and Prevention	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Menzies Health Institute – Disability and Rehabilitation	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Menzies Health Institute –The Economic, Policy and Innovation Centre for Health	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Menzies Health Institute – Healthcare and Survivorship	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Menzies Health Institute – Infectious Diseases and immunology	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Possible presence of dual use technologies. Possible presence of pathogens that might be or be precursors to chemical weapons. Possible restrictions on training of post-graduate research students from sanctioned countries, including providing access to certain types of equipment. Possible access to technologies involving graphite, iron and steel, copper and articles thereof, nickel and articles thereof, aluminium, lead, zinc, tin and other base metals, cermets and articles.

National Centre for Neuroimmunology and Emerging Disease	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Possible presence of dual use technologies. Possible presence of pathogens that might be or be precursors to chemical weapons. Possible restrictions on training of post-graduate research students from sanctioned countries, including providing access to certain types of equipment. Possible access to technologies involving graphite, iron and steel, copper and articles thereof, nickel and articles thereof, aluminium, lead, zinc, tin and other base metals, cermets and articles.
ARTS, EDUCATION AND LAW	ASSESSMENT	DETAILS OF RESEARCH ACTIVITIES OR FACILITIES
There are no at risk elements in Arts, Education and Law		
GRIFFITH BUSINESS SCHOOL	ASSESSMENT	DETAILS OF RESEARCH ACTIVITIES OR FACILITIES
There are no at risk elements in Griffith Business School but all Griffith Business School Staff should be aware that provision of access to software technologies for integrating industrial processes to Iran is subject to export controls.		
EXTERNALLY SUPPORTED RESEARCH CENTRES	ASSESSMENT	DETAILS OF RESEARCH ACTIVITIES OR FACILITIES
National Climate Change Adaptation Research Facility	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Centre for Applied Health Economics	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Clem Jones Centre for Neurobiology and Stem Cell Research	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Griffith Centre for Coastal Management	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
The Hopkins Centre	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Sustainable Built Environment National Research Centre	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	